

**AGENDA ITEM NO.**

**ARUN DISTRICT COUNCIL**

**REPORT TO AND DECISION OF AUDIT & GOVERNANCE  
COMMITTEE  
ON 30 JULY 2019**

**PART A : REPORT**

**SUBJECT:** Progress Against the Audit Plan

**REPORT AUTHOR:** Stephen Pearse, Internal Audit Manager

**DATE:** July 2019

**EXTN:** 37561

**PORTFOLIO AREA:** Corporate Support

**EXECUTIVE SUMMARY:**

Each year Internal Audit undertakes its work against an annual audit plan, as approved by the Audit & Governance Committee prior to the start of the financial year

The Committee is required to oversee the provision of an adequate and effective internal audit service

**RECOMMENDATIONS:**

Members of the Audit & Governance Committee are requested to note the content of the report on progress made against the outline Audit Plan agreed by the Committee at its February meeting

**1. BACKGROUND:**

An outline Audit Plan was presented to, and approved by, the Committee at its February 2019 meeting reflecting the resource currently available. The aim of the plan was to ensure that mandatory work is completed, that there is appropriate involvement in the progress of the 2020 Vision initiative / ongoing transformation and to progress audit work on the priority / highest risk areas identified.

However, the Committee was advised that, although the revised management structure has been finalised, some lower level organisational changes are still being progressed and there is still considerable uncertainty as to where audit resource may be required in the year.

The attached report identifies the main areas of work undertaken by the Internal Audit section to July 2019.

**2. PROPOSAL(S):**

It is proposed that the Committee notes the content of the report on progress made

against the outline Audit Plan agreed by the Committee at its February meeting		
<b>3. OPTIONS:</b> To note the contents of the report, or not		
<b>4. CONSULTATION:</b>		
Has consultation been undertaken with:	<b>YES</b>	<b>NO</b>
Relevant Town/Parish Council		✓
Relevant District Ward Councillors		✓
Other groups/persons (please specify)		✓
<b>5. ARE THERE ANY IMPLICATIONS IN RELATION TO THE FOLLOWING COUNCIL POLICIES: (Explain in more detail at 6 below)</b>	<b>YES</b>	<b>NO</b>
Financial		✓
Legal		✓
Human Rights/Equality Impact Assessment		✓
Community Safety including Section 17 of Crime & Disorder Act		✓
Sustainability		✓
Asset Management/Property/Land		✓
Technology		✓
Other (please explain)		✓
<b>6. IMPLICATIONS:</b>		

<b>7. REASON FOR THE DECISION:</b> The Committee notes the content of the report on progress made against the outline Audit Plan agreed by the Committee at its February meeting
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<b>8. BACKGROUND PAPERS:</b> N/A
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