

Arun District Council

REPORT TO:	Audit and Governance Committee 30 November 2023
SUBJECT:	Corporate Risk Register Update
LEAD OFFICER:	Antony Baden, Group Head of Finance and Section 151 Officer
LEAD MEMBER:	Cllr Dr James Walsh
WARDS:	All
CORPORATE PRIORITY / POLICY CONTEXT / CORPORATE VISION: The Risk Management Framework presented to the Audit and Governance Committee on 28 September 2023 has been used to review the strategic risks facing the Council. As a result of the review a revised Corporate Risk Register has been produced.	
DIRECTORATE POLICY CONTEXT: The Corporate Risk Register is an overriding risk management tool that will capture corporate risks from across the Council.	
FINANCIAL SUMMARY: There are no direct financial considerations.	

1. PURPOSE OF REPORT

- 1.1. The purpose of the report is to highlight the updates to the Corporate Risk Register since it was last reported to the Audit and Governance Committee on 28 September 2023.

2. RECOMMENDATIONS

- 2.1. Members of the Audit and Governance Committee are asked to consider and note the revised Corporate Risk Register.

3. EXECUTIVE SUMMARY

- 3.1. The Corporate Risk Register is reviewed and updated in line with the requirements of the Council's Risk Management Framework.
- 3.2. Quarterly updates reflecting revisions are reported to the Audit and Governance Committee, this report highlights the changes since the last update.

- 3.3. It is proposed that the Committee considers and notes the revised Corporate Risk Register.

4. DETAIL

- 4.1. A report recommending the adoption of a new Risk Management Framework was presented to the Audit and Governance Committee on 29 November 2022. A subsequent report was provided to the Committee on 28 September 2023 detailing amendments to the Framework.
- 4.2. Corporate risks are risks that could influence the successful achievement of our long- term core purpose, priorities, and outcomes. These are risks that could potentially have a council wide impact and/ or risks that cannot solely be managed at a Service Area Level because higher level support or intervention is needed.
- 4.3. The Council's Risk Matrix (an extract from the Risk Management Framework) used to score each risk is included as Appendix 1 to this report.
- 4.4. Risks are assessed for Gross and Net risk. This method is used to evaluate the effectiveness of the identified actions used to mitigate the likelihood or impact of each of the risks.
- 4.5. The Corporate Management Team have reviewed the Corporate Risk Register in line with the review and reporting requirements of the Risk Management Framework included as Appendix 2 to this report.
- 4.6. Corporate risks were last reviewed by the Corporate Management Team on 19 September. The Corporate Risk register entries are attached as Appendix 3 to this report.
- 4.7. Actions have been reviewed and updates are highlighted on the risk register entries- Appendix 3.
- 4.8. The Net Risk level score in respect of CRR4.1 relating to a major successful cyber-attack has increased, however this risk remains at a medium score.
- 4.9. An additional medium risk has been identified, CRR4.4 relating to supplier support for the Council's electronic document management system. This risk register entry is included in Appendix 3 to this report.
- 4.10. The Corporate Management Team have approved the removal of risk CRR14 relating to Housing repairs- compliance failings. It is deemed that this risk is now being managed to an acceptable level and can now be managed solely at a Service Area level. This risk register entry is included in Appendix 3 to this report.
- 4.11. The Corporate Management Team have approved the removal of risk CRR 18 relating to the Housing Benefit Subsidy as an auditor has now been identified.

- 4.12. To improve the risk management of major projects (CRR11) this risk has been separated into three separate risks by project:
- CRR11a: Major Project- Alexandra Theatre
 - CRR11b: Major Project- Littlehampton Seafront
 - CRR11c: Major Project- Bognor Regis Arcade

The risk register entries for each of the above are included in Appendix 3 to this report.

- 4.13. A status update and timescales have been obtained and included to improve the monitoring of further actions.
- 4.14. Members of the Audit and Governance Committee are asked to consider and note the updates resulting in the revised Corporate Risk Register.

5. CONSULTATION

- 5.1. There is no requirement for public consultation in relation to the Corporate Risk Register, this is an internal risk management tool.

6. OPTIONS / ALTERNATIVES CONSIDERED

- 6.1. Not applicable.

7. COMMENTS BY THE GROUP HEAD OF FINANCE/ S151 OFFICER

- 7.1. The financial challenges the Council face means that some risk mitigation has not been taken up due to timings as work is being undertaken on the corporate savings programme so some risks are higher than they could be e.g. equalities.

8. RISK ASSESSMENT CONSIDERATIONS

- 8.1. The Corporate Risk Register aids in the effective management of strategic risks faced by the Council. (Appendix 3)

9. COMMENTS OF THE GROUP HEAD OF LAW AND GOVERNANCE & MONITORING OFFICER

- 9.1. None

10. HUMAN RESOURCES IMPACT

- 10.1. There are no direct human resource implications. However, the Corporate Risk Register will assist in the effective management of related risks.

11. HEALTH & SAFETY IMPACT

11.1. There are no direct implications. However, the Corporate Risk Register will assist in the effective management of related risks.

12. PROPERTY & ESTATES IMPACT

12.1. There are no direct implications. However, the Corporate Risk Register will assist in the effective management of related risks.

13. EQUALITIES IMPACT ASSESSMENT (EIA) / SOCIAL VALUE

13.1. There are no direct implications. However, the Corporate Risk Register will assist in the effective management of related risks.

14. CLIMATE CHANGE & ENVIRONMENTAL IMPACT/SOCIAL VALUE

14.1. There are no direct implications. However, the Corporate Risk Register will assist in the effective management of related risks.

15. CRIME AND DISORDER REDUCTION IMPACT

15.1. None.

16. HUMAN RIGHTS IMPACT

16.1. None.

17. FREEDOM OF INFORMATION / DATA PROTECTION CONSIDERATIONS

17.1. There are no direct implications. However, the Corporate Risk Register will assist in the effective management of related risks.

CONTACT OFFICER:

Name: Vicky Ashmore

Job Title: Finance and Risk Manager

Contact Number: X37606

BACKGROUND DOCUMENTS:

Audit and Governance Committee report - 29 November 2022

Arun District Council

Audit and Governance Committee report - 25 July 2023
Arun District Council

Audit and Governance Committee report - 28 September 2023
Arun District Council